

STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING **ERROR REPORT**

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/846,687A
Source: FW/6
Date Processed by STIC: 6/26/06

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.4.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , **EFS Submission User Manual - ePAVE**)
2. **U.S. Postal Service:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. **Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):**
U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

Raw Sequence Listing Error Summary

ERROR DETECTED

SUGGESTED CORRECTION

SERIAL NUMBER:

09/846,687A

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 Wrapped Nucleics
 Wrapped Aminos The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor **after** creating it. Please adjust your right margin to .3; this will prevent "wrapping."

- 2 Invalid Line Length The rules require that a line **not exceed** 72 characters in length. This includes white spaces.

- 3 Misaligned Amino
 Numbering The numbering under each 5th amino acid is misaligned. Do **not** use tab codes between numbers; use **space characters**, instead.

- 4 Non-ASCII The submitted file was **not** saved in ASCII(DOS) text, as **required** by the Sequence Rules. **Please ensure your subsequent submission is saved in ASCII text.**

- 5 Variable Length Sequence(s) contain n's or Xaa's representing more than one residue. **Per Sequence Rules, each n or Xaa can only represent a single residue.** Please present the **maximum** number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.

- 6 PatentIn 2.0
 "bug" A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) . Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. **This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.**

- 7 Skipped Sequences
 (OLD RULES) Sequence(s) missing. If intentional, please insert the following lines for **each** skipped sequence:
 (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
 (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)
 (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)
 This sequence is intentionally skipped
 Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to **include** the skipped sequences.

- 8 Skipped Sequences
 (NEW RULES) Sequence(s) missing. If **intentional**, please insert the following lines for **each** skipped sequence.
 <210> sequence id number
 <400> sequence id number
 000

- 9 Use of n's or Xaa's
 (NEW RULES) Use of n's and/or Xaa's have been detected in the Sequence Listing.
 Per 1.823 of Sequence Rules, use of <220>-<223> is **MANDATORY** if n's or Xaa's are present.
 In <220> to <223> section, please explain location of **n** or **Xaa**, and which residue **n** or **Xaa** represents.

- 10 Invalid <213>
 Response Per 1.823 of Sequence Rules, the only **valid** <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is **required** when <213> response is Unknown or is Artificial Sequence. (see item 11 below) →

- 11 Use of <220> Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown."
 Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules

- 12 PatentIn 2.0
 "bug" Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.

- 13 Misuse of n/Xaa "**n**" can **only** represent a single nucleotide; "**Xaa**" can **only** represent a single amino acid



IFW16

RAW SEQUENCE LISTING

DATE: 06/26/2006

PATENT APPLICATION: US/09/846,687A

TIME: 11:29:50

Input Set : A:\PTO.DA.txt

Output Set: N:\CRF4\06262006\I846687A.raw

3 <110> APPLICANT: Lorrence H. Green
 5 <120> TITLE OF INVENTION: A Method and Vaccine for the Prevention of AIDS.
 W--> 0 <130> FILE REFERENCE:
 7 <140> CURRENT APPLICATION NUMBER: US 09/846,687A
 9 <141> CURRENT FILING DATE: 2001-05-01
 11 <160> NUMBER OF SEQ ID NOS: 3

ERRORED SEQUENCES

45 <210> SEQ ID NO: 3
 46 <211> LENGTH: 45
 47 <212> TYPE: PRT
 48 <213> ORGANISM: Polypeptide sp.
 50 <400> SEQUENCE: 3
 52 Tyr Ser Gln Tyr Gln Phe Trp Lys Asn Phe Gln Thr Leu Lys Ile Leu
 53 1 5 10 15
 55 Gly Leu Val Leu Pro Leu Leu Val Cys Val Ile Cys Tyr Ser Gly Ile
 56 20 25 30
 58 Leu Lys Thr Leu Leu Arg Cys Arg Asn Glu Lys Lys Arg
 59 35 40 45
 E--> 63 (1) delete

*invalid response - see item 10
 on Err summary sheet*
**Does Not Comply
 Corrected Diskette Needed**

*This error also
 appears in
 sequences 1-2*

VERIFICATION SUMMARY

DATE: 06/26/2006

PATENT APPLICATION: US/09/846,687A

TIME: 11:29:51

Input Set : A:\PTO.DA.txt

Output Set: N:\CRF4\06262006\I846687A.raw

L:0 M:201 W: Mandatory field data missing, <130> FILE REFERENCE

L:63 M:332 E: (32) Invalid/Missing Amino Acid Numbering, SEQ ID:3